

# CERTIFICATE OF VALIDATION



QQI

Quality and Qualifications Ireland  
Dearbhú Cáilíochta agus Cáilíochtaí Éireann

ReValidation

<b>Provider Name</b>	Dublin Business School
<b>Date of Validation</b>	12-Sep-19

	First intake	Last intake	Intakes per annum
<b>Enrolment Interval</b>	Sep-19	Aug-24	2

	Code	Title	Award	Duration (Months)	Intakes per annum
<b>Principal Programme</b>	PG24204	Bachelor of Arts (Honours) in Financial Services	Bachelor of Arts (Honours) (Major Award at NFQ Level 8) 8M20816 180 credits	3 years 4 years PT	2
<b>Embedded Programmes</b>	na	n/a			

## Principal Programme

**5 Year Plan: Planned total enrolment i.e. aggregated across all intakes and all approved centres**

	Year 1	Year 2	Year 3	Year 4	Year 5
<b>Minimum Intake into first year</b>	10	10	10	10	10
<b>Maximum Intake into first year</b>	240	240	240	240	240

**Target Learner groups**

The BA (Hons) in Financial Services is aimed at learners with the following entry qualifications:

- Applicants who have achieved 2 H5s + 4 O6/H7s, to include Mathematics and English or another language (under the new Common Points Scale for Leaving Certificate 2017).
- Using the old pre-2017 grading scheme, applicants must have obtained a minimum of grade C3 in 2 higher level subjects and a minimum of grade D3 in 4 Ordinary Level subjects (to include Maths, English and a language).
- Full Level 5 FETAC equivalent award with at least three distinctions.
- RPL applicants will be considered on a case-by-case basis.
- Learners are admitted to Stage 2 and 3 where they have previously completed prior study with equivalent learning at the previous stage. This is assessed on a case-by-case basis for individual students.
- DBS also has a formal arrangement with various partner colleges to admit learners at advanced entry based on study with a partner institution.

**Brief Synopsis of the programmes**

Innovation applied to financial services is creating a wave of disruptive activity that will change the shape of the global financial system - its participants, markets and regulation - over the foreseeable future. This has created a demand from graduates and employees for programmes specifically tailored to the skills required for a changing financial services industry. Therefore, this is a programme focuses upon the contemporary skills and attributes required by stakeholders in the financial services industry. The programme is designed to learners' seeking to enhance their career prospects in the technologically driven financial services sector.

**Delivery mode: full-time / part-time**

Full-time and part-time

**Teaching and Learning Modes**

1.Classroom lectures

2. Case-based learning  
 3. Practical skills sessions  
 4. Workshops  
 5. Tutorials  
 6. Individual and group work  
 7. Online synchronous and asynchronous learning

**Approved countries**

Ireland

**Physical resource requirements**

Lecture rooms with multimedia resources and physical resources suitable for working in breakout groups. Classroom / computer room with requisite (non-specialist) software required for the delivery of the programme are detailed in each of the module descriptors and also in Section 8.

**Staff Profiles**

<b>Qualifications and Experience</b>	<b>WTE</b>
Lecturing staff will have a minimum of a Masters or PhD in the following areas: - Finance including treasury, research, trading, data analysis, lecturing on financial theory and practice - Finance and accounts postgraduate levels and professional levels. Banking postgraduate and professional levels. - Business administration in finance, HR, e-business, trustee, strategic planning. In modules where industry experience is desirable, holders of Level 8 honours degrees in the above disciplines, who are exceptionally qualified by virtue of significant senior industry experience may also be considered.	1.77

**Approved Centres**

<b>Centre</b>	<b>Minimum Number of learners per intake per Centre</b>	<b>Maximum Number of learners per intake per Centre</b>
DBS Campus	10	120

**Learner Teacher Ratios**

<b>Learning Activity</b>	<b>Ratio</b>
Workshops	1:25
Practical sessions	1:25
Online class	1:50
Classroom sessions	1:120

**Programme being replaced by this programme**

<b>Prog Code</b>	<b>Programme Title</b>	<b>Validated</b>	<b>To Close</b>
PG19554	BACHELOR OF ARTS (HONOURS) in Financial Services	12-Apr-17	

# Conditions of Validation of the Programmes Covered by this Certificate of Validation

## Part 1: Statutory Conditions of Validation

The statutory (section 45(3) of the 2012 Act) conditions of validation are that the provider of the programme shall:

- 1.co-operate with and assist QQI in the performance of QQI's functions in so far as those functions relate to the functions of the provider,
- 2.establish procedures which are fair and consistent for the assessment of enrolled learners to ensure the standards of knowledge, skill or competence determined by QQI under section 49 (1) are acquired, and where appropriate, demonstrated, by enrolled learners,
- 3.continue to comply with section 65 of the 2012 Act in respect of arrangements for the protection of enrolled learners, if applicable, and
- 4.provide to QQI such information as QQI may from time to time require for the purposes of the performance of its functions, including information in respect of completion rates.

## Part 2 Conditions of Validation Established by QQI Under section 45(4)(b) of the 2012 Act

### Part 2.1 Condition of Validation Concerning a Change in the QQI Award or Award Standard

- 1.Where QQI changes an award title, an award specification or an award standard that a programme depends upon, the provider shall not enrol any further learners on the affected programmes unless informed otherwise in writing by QQI (e.g. by the issue of a revised certificate of validation). The programme is considered validated for learners already enrolled on the affected programme.

### Part 2.2 Condition of Validation Concerning the Duration of Enrolment

- 1.The duration of enrolment is the interval during which learners may be enrolled on the validated programme.

Validation is determined by QQI for a specified number of years of enrolment appropriate to the particular programme as indicated on the certificate on validation subject to unit 9.2.1. It is a condition of validation that the programme does not enrol any new learners outside this interval. A typical duration would be five years.

If a provider wishes to continue to enrol learners to the programme beyond this interval the provider must arrange in good time for it to be validated again by QQI, or exceptionally the provider may apply for extension of the duration of enrolment (unit (14)). In this context the provider may apply for validation of the programme from first principles or, alternatively, the provider may avail of the process for revalidation (unit (13)) by QQI.

### Part 2.3 General Condition of Validation

The provider of the programme shall:

- 1.Ensure that the programme as implemented does not differ in a material way from the programme as validated; differing in a material way is defined as differing in any aspect of the programme or its implementation that was material to QQI's validation criteria.
- 2.Ensure that the programme is provided with the appropriate staff and physical resources as validated.
- 3.Implement in respect of the programme its written quality assurance procedures (as approved by QQI).
- 4.Make no significant change to the programme without the prior approval of QQI. (See unit (8)).
- 5.Unless otherwise agreed by QQI in writing, start implementing the programme as validated and enrol learners within 18 months of validation.

6. Continue in respect of the validated programme to comply with section 56 of the 2012 Act in respect of procedures for access, transfer and progression.
7. Implement the programme and procedures for assessment of learners in accordance with the Approved Programme Schedule and notify QQI in writing of any amendments to this arising from changes to the programme; see unit (9).
8. When advertising and promoting the programme and awards, use the programme title as validated, and the correct QQI award title(s), award type(s) and award class(es) indicating the level of the award(s) on the National Framework of Qualifications.
9. Adhere to QQI regulations and procedures for certification.
10. Notify QQI in writing without delay of:
  - a. any material change to the programme;
  - a. anything that impacts on the integrity or reputation of the programme or the corresponding QQI awards;
  - b. anything that infringes the conditions of validation; or
  - c. anything that would be likely to cause QQI to consider reviewing the validation.
11. Notify QQI in writing to determine the implications for the provider's validated programmes, where the provider is likely to, or planning to, merge (amalgamate) with another entity or to acquire, or be acquired by, another entity (see unit (12.5)).
12. Report to QQI, when required or requested, on its implementation of the programme and compliance with the conditions of validation.

#### **Part 2.4 General Condition of Validation Arising from Specialised Validation Policy and Criteria**

1. n/a

#### **Part 2.5 Special Conditions of Validation**

1. n/a

## Approved programme schedules

<b>Name of Provider:</b>		Dublin Business School												
<b>Programme Title</b>		BA (Hons) in Financial Services												
<b>Award Title</b>		BA (Hons) in Financial Services												
<b>Stage Exit Award Title<sup>3</sup></b>		N/A												
<b>Modes of Delivery (FT/PT):</b>		Full-time												
<b>Teaching and learning modalities</b>		As per module descriptors												
<b>Award Class<sup>4</sup></b>	<b>Award NFQ level</b>	<b>Award EQF Level</b>	<b>Stage (1, 2, 3, 4, ..., or Award Stage):</b>	<b>Stage NFQ Level<sup>2</sup></b>	<b>Stage EQF Level<sup>2</sup></b>	<b>Stage Credit (ECTS)</b>	<b>Date Effective</b>	<b>ISCED Subject code</b>						
Major	8	6	1			60	1 <sup>st</sup> September 2019	0412						
<b>Module Title</b> (Up to 70 characters including spaces)		<b>Semester no where applicable (Semester 1 or Semester 2)</b>	<b>Module</b>		<b>Credit Number<sup>5</sup></b>	<b>Total Student Effort Module (hours)</b>				<b>Allocation Of Marks (from the module assessment strategy)</b>				
			<b>Status<sup>27</sup></b>	<b>NFQ Level<sup>1</sup> where specified</b>	<b>Credit Units</b>	<b>Total Hours</b>	<b>Class (or equiv) Contact Hours</b>	<b>Directed e-learning</b>	<b>Learning<sup>28</sup></b>	<b>Hours of Independent learning effort<sup>28</sup></b>	<b>Work-based learning effort<sup>28</sup></b>	<b>C.A. %</b>	<b>Supervised Project %</b>	<b>practical demonstration %</b>
Principles of Accounting		1 and 2	M		10 ECTS	250	72	50	128		30			70
Economic Perspectives		1 and 2	M		10 ECTS	250	72	50	128		40	20		40
Business Context and Organisation		1 and 2	M		10 ECTS	250	72	50	128		50			50
IT Essentials		1 and 2	M		10 ECTS	250	72	50	128		50		50	
Business Maths & Research Methods		1 and 2	M		10 ECTS	250	72	50	128		40	10		50
Learning to Learn		1	M		5 ECTS	125	36	25	64		100			
Introduction to International Capital Markets		2	M		5 ECTS	125	36	25	64		60			40
<b>Special Regulations</b> (Up to 280 characters)														

<sup>27</sup> Mandatory (m) or elective (E)

<sup>28</sup> Work-based learning effort is not the number of hours in the workplace. For example, a person might spend 35 hours in the workplace as a trainee and this might involve 7 hours of learning effort.

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<b>Teaching and learning modalities</b>		As per module descriptors														
<b>Award Class<sup>4</sup></b>	<b>Award NFQ level</b>	<b>Award EQF Level</b>	<b>Stage (1, 2, 3, 4, ..., or Award Stage):</b>		<b>Stage NFQ Level<sup>2</sup></b>	<b>Stage EQF Level<sup>2</sup></b>	<b>Stage Credit (ECTS)</b>	<b>Date Effective</b>	<b>ISCED Subject code</b>							
Major	8	6	2				60	1 <sup>st</sup> September 2019	0412							
<b>Module Title</b> (Up to 70 characters including spaces)		<b>Semester no where applicable (Semester 1 or Semester 2)</b>	<b>Module</b>		<b>Credit Number<sup>5</sup></b>	<b>Total Student Effort Module (hours)</b>					<b>Allocation Of Marks (from the module assessment strategy)</b>					
			<b>Status<sup>29</sup></b>	<b>NFQ Level<sup>1</sup> where specified</b>	<b>Credit Units</b>	<b>Total Hours</b>	<b>Class (or equiv) Contact Hours</b>	<b>Directed e-learning</b>	<b>Hours of Independent Learning</b>	<b>Work-based learning effort<sup>30</sup></b>	<b>C.A. %</b>	<b>% Supervised Project</b>	<b>Proctored practical demonstration %</b>	<b>Proctored written exam %</b>		
					HET Credits											
International Financial Markets & Services		1 and 2	M		10 ECTS	250	64	50	136		60					40
Financial Management		1 and 2	M		10 ECTS	250	64	50	136		30					70
International Financial Services Regulation		1 and 2	M		10 ECTS	250	64	50	136		60					40
Data Governance & Cybersecurity		1 and 2	M		10 ECTS	250	64	50	136		50					50
Management		1 and 2	M		10 ECTS	250	64	50	136		100					
Ethics & Corporate Governance		1	M		5 ECTS	125	32	25	68		50					50
Advanced Economic Perspectives		2	M		5 ECTS	125	32	25	68		50					50
<b>Special Regulations (Up to 280 characters)</b>																

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<b>Teaching and learning modalities</b>		As per module descriptors												
<b>Award Class<sup>4</sup></b>	<b>Award NFQ level</b>	<b>Award EQF Level</b>	<b>Stage (1, 2, 3, 4, ..., or Award Stage):</b>		<b>Stage NFQ Level<sup>2</sup></b>	<b>Stage EQF Level<sup>2</sup></b>	<b>Stage Credit (ECTS)</b>	<b>Date Effective</b>	<b>ISCED Subject code</b>					
Major	8	6	Award		8	6	60	1 <sup>st</sup> September 2019	0412					
<b>Module Title</b> (Up to 70 characters including spaces)		<b>Semester no where applicable (Semester 1 or Semester 2)</b>	<b>Module</b>		<b>Credit Number<sup>5</sup></b>	<b>Total Student Effort Module (hours)</b>					<b>Allocation Of Marks (from the module assessment strategy)</b>			
			<b>Status<sup>31</sup></b>	<b>NFQ Level<sup>1</sup> where specified</b>	<b>Credit Units</b>	<b>Total Hours</b>	<b>Class (or equiv) Contact Hours</b>	<b>Directed e-learning</b>	<b>Hours of Independent Learning</b>	<b>Work-based learning effort<sup>32</sup></b>	<b>C.A. %</b>	<b>Supervised Project %</b>	<b>Proctored practical demonstration %</b>	<b>Proctored written exam %</b>
HET Credits														
Treasury & Risk Management		1 and 2	M	8	10 ECTS	250	60	50	140		40			60
Financial Structured Products		1 and 2	M	8	10 ECTS	250	60	50	140		40			60
International Asset Management		1 and 2	M	8	10 ECTS	250	60	50	140		40			60
Innovation in International Financial Services		1 and 2	M	8	10 ECTS	250	60	50	140		60			40
Data Analytics for Finance		1 and 2	M	8	10 ECTS	250	60	50	140		60			40
Capstone Project		1 and 2	M	8	10 ECTS	250	18	60	172			100		
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<b>Award Title</b>		BA (Hons) in Financial Services													
<b>Stage Exit Award Title<sup>3</sup></b>		N/A													
<b>Modes of Delivery (FT/PT):</b>		Part-time													
<b>Teaching and learning modalities</b>		As per module descriptors													
<b>Award Class<sup>4</sup></b>	<b>Award NFQ level</b>	<b>Award EQF Level</b>	<b>Stage (1, 2, 3, 4, ..., or Award Stage):</b>		<b>Stage NFQ Level<sup>2</sup></b>	<b>Stage EQF Level<sup>2</sup></b>	<b>Stage Credit (ECTS)</b>	<b>Date Effective</b>	<b>ISCED Subject code</b>						
Major	8	6	1				60	1 <sup>st</sup> September 2019	0412						
<b>Module Title</b> (Up to 70 characters including spaces)		<b>Semester no where applicable (Semester 1 or Semester 2)</b>	<b>Module</b>		<b>Credit Number<sup>5</sup></b>	<b>Total Student Effort Module (hours)</b>					<b>Allocation Of Marks (from the module assessment strategy)</b>				
			<b>Status<sup>33</sup></b>	<b>NFQ Level<sup>1</sup> where specified</b>	<b>Credit Units</b>	<b>Total Hours</b>	<b>Class (or equiv) Contact Hours</b>	<b>Directed e-learning</b>	<b>Learning Independent</b>	<b>Hours of learning effort<sup>34</sup></b>	<b>Work-based learning effort<sup>34</sup></b>	<b>C.A. %</b>	<b>Supervised Project %</b>	<b>Practical demonstration %</b>	<b>Proctored practical</b>
<b>HET Credits</b>															
Principles of Accounting		1 and 2	M		10 ECTS	250	36	50	164		30				70
Economic Perspectives		1 and 2	M		10 ECTS	250	36	50	164		40	20			40
Business Context and Organisation		1 and 2	M		10 ECTS	250	36	50	164		50				50
IT Essentials		1 and 2	M		10 ECTS	250	36	50	164		50		50		
Business Maths & Research Methods		1 and 2	M		10ECTS	250	36	50	164		40	10			50
Learning to Learn		1	M		5ECTS	250	18	25	82		100				
Introduction to International Capital Markets		2	M		5 ECTS	125	18	25	82		60				40
<b>Special Regulations (Up to 280 characters)</b>															

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<b>Modes of Delivery (FT/PT):</b>		Part-time												
<b>Teaching and learning modalities</b>		As per module descriptors												
<b>Award Class<sup>4</sup></b>	<b>Award NFQ level</b>	<b>Award EQF Level</b>	<b>Stage (1, 2, 3, 4, ..., or Award Stage):</b>		<b>Stage NFQ Level<sup>2</sup></b>	<b>Stage EQF Level<sup>2</sup></b>	<b>Stage Credit (ECTS)</b>	<b>Date Effective</b>	<b>ISCED Subject code</b>					
Major	8	6	2				60	1 <sup>st</sup> September 2019	0412					
<b>Module Title</b> (Up to 70 characters including spaces)		<b>Semester no where applicable (Semester 1 or Semester 2)</b>	<b>Module</b>		<b>Credit Number<sup>5</sup></b>	<b>Total Student Effort Module (hours)</b>					<b>Allocation Of Marks (from the module assessment strategy)</b>			
			<b>Status<sup>35</sup></b>	<b>NFQ Level<sup>1</sup> where specified</b>	<b>Credit Units</b>	<b>Total Hours</b>	<b>Class (or equiv) Contact Hours</b>	<b>Directed e-learning</b>	<b>Hours of Independent Learning</b>	<b>Work-based learning effort<sup>36</sup></b>	<b>C.A. %</b>	<b>% Supervised Project</b>	<b>Proctored practical demonstration %</b>	<b>Proctored written exam %</b>
HET Credits														
International Financial Markets & Services		1 and 2	M		10 ECTS	250	32	50	168		60			40
Financial Management		1 and 2	M		10 ECTS	250	32	50	168		30			70
International Financial Services Regulation		1 and 2	M		10 ECTS	250	32	50	168		60			40
Data Governance & Cybersecurity		1 and 2	M		10 ECTS	250	32	50	168		50			50
Management		1 and 2	M		10 ECTS	250	32	50	168		100			
Ethics & Corporate Governance		1	M		5 ECTS	125	16	25	84		50			50
Advanced Economic Perspectives		2	M		5 ECTS	125	16	25	84		50			50
<b>Special Regulations (Up to 280 characters)</b>														

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<b>Modes of Delivery (FT/PT):</b>		Part-time												
<b>Teaching and learning modalities</b>		As per module descriptors												
<b>Award Class<sup>4</sup></b>	<b>Award NFQ level</b>	<b>Award EQF Level</b>	<b>Stage (1, 2, 3, 4, ..., or Award Stage):</b>	<b>Stage NFQ Level<sup>2</sup></b>	<b>Stage EQF Level<sup>2</sup></b>	<b>Stage Credit (ECTS)</b>	<b>Date Effective</b>	<b>ISCED Subject code</b>						
Major	8	6	Award	8	6	60	1 <sup>st</sup> September 2019	0412						
<b>Module Title</b> (Up to 70 characters including spaces)		<b>Semester no where applicable (Semester 1 or Semester 2)</b>	<b>Module</b>		<b>Credit Number<sup>5</sup></b>	<b>Total Student Effort Module (hours)</b>				<b>Allocation Of Marks (from the module assessment strategy)</b>				
			<b>Status<sup>37</sup></b>	<b>NFQ Level<sup>1</sup> where specified</b>	<b>Credit Units</b>	<b>Total Hours</b>	<b>Class (or equiv) Contact Hours</b>	<b>Directed e-learning</b>	<b>Hours of Independent Learning</b>	<b>Work-based learning effort<sup>38</sup></b>	<b>C.A. %</b>	<b>Supervised Project %</b>	<b>Proctored practical demonstration %</b>	<b>Proctored written exam %</b>
Treasury & Risk Management		1 and 2	M	8	10 ECTS	250	30	50	170		40			60
Financial Structured Products		1 and 2	M	8	10 ECTS	250	30	50	170		40			60
International Asset Management		1 and 2	M	8	10 ECTS	250	30	50	170		40			60
Innovation in International Financial Services		1 and 2	M	8	10 ECTS	250	30	50	170		60			40
Data Analytics for Finance		1 and 2	M	8	10 ECTS	250	30	50	170		60			40
Capstone Project		1 and 2	M	8	10 ECTS	250	18	60	172			100		
<b>Special Regulations</b> (Up to 280 characters)														

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