

CERTIFICATE OF VALIDATION

Provider Name	Dublin Business School
Date of Validation	20-Dec-18

	First intake	Last intake
Enrolment Interval	Jan-19	Jan-23

	Code	Title	Award	Duration (Months)	Annual Intakes
Principal Programme	PG23960	Certificate in Regulatory Technology	Certificate (Special purpose Award at NFQ Level 8) 8S20701 40 credits	1 year	3
Embedded Programmes	NA	N/A			

Principal Programme

5 Year Plan: Planned total enrolment i.e. aggregated across all intakes and all approved centres

	Year 1	Year 2	Year 3	Year 4	Year 5
Minimum Intake into first year	60	60	60	60	60
Maximum Intake into first year	600	600	600	600	600

Target Learner groups

The programme is aimed at learners with a minimum Level 7 Ordinary Bachelor Degree in the cognate discipline of finance who wish to specialise in the field of Regulatory Technology with a view to entering the financial services industry. The programme is also aimed at learners with minimum Level 7 Ordinary Bachelor Degree in a non-cognate discipline with 1-2 years professional experience working in the financial services industry and who require a qualification in the area to progress professionally.

Brief Synopsis of the programmes

Technological innovation applied to financial services has created a wave of disruptive activity that will change the shape of the global financial system over the next decade. This has created demand from graduates and employees for programmes specifically tailored to the skills required for a changing financial services industry. This is an interdisciplinary program that focuses on finance and data analytics. It is designed to appeal to graduates seeking to enhance their career prospects in Regtech, that is regulatory technology for the financial sector. This programme has 4 taught modules, each of 10 ECTS.

Delivery mode: full-time / part-time

Part-Time

Teaching and Learning Modes

1. Class room lectures
2. Case-based learning
3. Practical skills sessions
4. Workshops
5. Tutorials
6. Individual and group work

Approved countries

Ireland

Physical resource requirements	Lecture rooms with multimedia resources and suitable for work in breakout groups. Hardware and software required the delivery of the programme are detailed in Section 8.
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Staff Profiles	Qualifications and Experience WTE
	Level 9 with significant industry experience/ Masters and/or PhD level in the following areas: - Finance, financing, treasury, data analytics - Business intelligence, innovation, data management - Compliance, regulation in the financial services sector

Approved Centres	Centre	Minimum Number of learners per intake per Centre	Maxium Number of learners per intake per Centre
	Dublin Business School, Dublin 2	20	200

Learner Teacher Ratios	Learning Activity	Ratio
	Workshops	1/25
	Practical Sessions	1/25
	Class room sessions	1/200

Programme being replaced by this programme	Prog Code	Programme Title	Validated	To Close
	NA	N/A		

Conditions of Validation of the Programmes Covered by this Certificate of Validation

Part 1: Statutory Conditions of Validation

The statutory (section 45(3) of the 2012 Act) conditions of validation are that the provider of the programme shall:

- 1.co-operate with and assist QQI in the performance of QQI's functions in so far as those functions relate to the functions of the provider,
- 2.establish procedures which are fair and consistent for the assessment of enrolled learners to ensure the standards of knowledge, skill or competence determined by QQI under section 49 (1) are acquired, and where appropriate, demonstrated, by enrolled learners,
- 3.continue to comply with section 65 of the 2012 Act in respect of arrangements for the protection of enrolled learners, if applicable, and
- 4.provide to QQI such information as QQI may from time to time require for the purposes of the performance of its functions, including information in respect of completion rates.

Part 2 Conditions of Validation Established by QQI Under section 45(4)(b) of the 2012 Act

Part 2.1 Condition of Validation Concerning a Change in the QQI Award or Award Standard

- 1.Where QQI changes an award title, an award specification or an award standard that a programme depends upon, the provider shall not enrol any further learners on the affected programmes unless informed otherwise in writing by QQI (e.g. by the issue of a revised certificate of validation). The programme is considered validated for learners already enrolled on the affected programme.

Part 2.2 Condition of Validation Concerning the Duration of Enrolment

- 1.The duration of enrolment is the interval during which learners may be enrolled on the validated programme.

Validation is determined by QQI for a specified number of years of enrolment appropriate to the particular programme as indicated on the certificate on validation subject to unit 9.2.1. It is a condition of validation that the programme does not enrol any new learners outside this interval. A typical duration would be five years.

If a provider wishes to continue to enrol learners to the programme beyond this interval the provider must arrange in good time for it to be validated again by QQI, or exceptionally the provider may apply for extension of the duration of enrolment (unit (14)). In this context the provider may apply for validation of the programme from first principles or, alternatively, the provider may avail of the process for revalidation (unit (13)) by QQI.

Part 2.3 General Condition of Validation

The provider of the programme shall:

- 1.Ensure that the programme as implemented does not differ in a material way from the programme as validated; differing in a material way is defined as differing in any aspect of the programme or its implementation that was material to QQI's validation criteria.
- 2.Ensure that the programme is provided with the appropriate staff and physical resources as validated.
- 3.Implement in respect of the programme its written quality assurance procedures (as approved by QQI).
- 4.Make no significant change to the programme without the prior approval of QQI. (See unit (8)).
- 5.Unless otherwise agreed by QQI in writing, start implementing the programme as validated and enrol learners within 18 months of validation.

6. Continue in respect of the validated programme to comply with section 56 of the 2012 Act in respect of procedures for access, transfer and progression.
7. Implement the programme and procedures for assessment of learners in accordance with the Approved Programme Schedule and notify QQI in writing of any amendments to this arising from changes to the programme; see unit (9).
8. When advertising and promoting the programme and awards, use the programme title as validated, and the correct QQI award title(s), award type(s) and award class(es) indicating the level of the award(s) on the National Framework of Qualifications.
9. Adhere to QQI regulations and procedures for certification.
10. Notify QQI in writing without delay of:
 - a. any material change to the programme;
 - a. anything that impacts on the integrity or reputation of the programme or the corresponding QQI awards;
 - b. anything that infringes the conditions of validation; or
 - c. anything that would be likely to cause QQI to consider reviewing the validation.
11. Notify QQI in writing to determine the implications for the provider's validated programmes, where the provider is likely to, or planning to, merge (amalgamate) with another entity or to acquire, or be acquired by, another entity (see unit (12.5)).
12. Report to QQI, when required or requested, on its implementation of the programme and compliance with the conditions of validation.

Part 2.4 General Condition of Validation Arising from Specialised Validation Policy and Criteria

1.

Part 2.5 Special Conditions of Validation

1. The panel proposes as a special condition of validation that the programme description as included in marketing material clearly indicates that the objective of the programme is enable student to develop a systematic understanding of current and evolving application of solutions for Regulatory Technology and not the development of such technologies.
2. The panel proposes as a special condition of validation that the definition of a cognate discipline for the purposes of minimum entry requirements for this programme should be limited to finance. To ensure that applicants understand the focus and requirements of the programme, the panel also proposes as a special condition of validation that all applicants with a Level 7 Ordinary Bachelor Degree in a non-cognate discipline be interviewed.
3. The panel proposes as a special condition of validation that applicants to the programme will be required to show sufficient competency in statistics, based on prior learning or professional experience due to the content of the modules, especially module 8 on Data Analytics
4. The panel proposes as a special condition of validation that marketing material for the proposed programme clarifies the class contact time required of potential students i.e. two evening per week plus additional hours as required. Time required of potential students i.e. two evening per week plus additional hours as required.

Programme and stage schedules

Name of Provider:		Dublin Business School												
Programme Title		Certificate in Arts in Regulatory Technology												
Award Title		Certificate in Arts in Regulatory Technology												
Stage Exit Award Title³		N/A												
Modes of Delivery (FT/PT):		Part Time												
Teaching and learning modalities		Formal lectures, seminars interactive group work, specific learning groups as defined by area of academic need, workshops, presentations, educator/teacher review, case-based learning, problem based learning												
Award Class⁴	Award NFQ level	Award EQF Level	Stage (1, 2, 3, 4, ..., or Award Stage):	Stage NFQ Level²	Stage EQF Level²	Stage Credit (ECTS)	Date Effective	ISCED Subject code						
Special Purpose Award	8	7	Award	8	7	40	1 st Sept 2018	0412						
Module Title (Up to 70 characters including spaces)		Semester no where applicable (Semester 1 or Semester 2)	Module		Credit Number⁵	Total Student Effort Module (hours)				Allocation Of Marks (from the module assessment strategy)				
			Status⁸	NFQ Level¹ where specified	Credit Units	Total Hours	Class (or equiv) Contact Hours	Directed e-learning	Hours of Independent Learning	Work-based learning effort⁹	C.A. %	Supervised Project %	Proctored practical demonstration %	Proctored written exam %
ECTS Credits														
Regtech Solutions		1	M	8	10 ECTS	250	48	50	152		60			40
Data Analytics for Regtech Applications		1	M	8	10 ECTS	250	48	50	152		60			40
Innovation in the Regtech Ecosystem		2	M	8	10 ECTS	250	48	50	152		100			0
Regtech Sectors and Technologies		2	M	8	10 ECTS	250	48	50	152		100			0
Special Regulations (Up to 280 characters)														
None														

⁸ Mandatory (m) or elective (E)

⁹ Work-based learning effort is not the number of hours in the workplace. For example, a person might spend 35 hours in the workplace as a trainee and this might involve 7 hours of learning effort.